SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|  |         | Table I - Non-D | erivative Securities Acquired, Disposed of, or Benefi   | cially Ow | ned   |   |  |  |  |
|--|---------|-----------------|---|-----------|---|---|--|--|--|
| (City)   | (State) | (Zip)           |   |           |   |   |  |  |  |
| (Street)<br>WALNUT<br>CREEK  | СА      | 94597-7578      |   | X         | Form filed by One Rep<br>Form filed by More the             | oorting Person<br>In One Reporting Person |  |  |  |
| SUITE 600  |         |                 | 4. If Amendment, Date of Original Filed (Month/Day/Year)  |           | 6. Individual or Joint/Group Filing (Check Applicable Line) |   |  |  |  |
| 1340 TREAT BOULEVARD   |         |                 |   |           | President, Garden Consumer Pro                              |   |  |  |  |
| (Last)   | (First) | (Middle)        | 3. Date of Earliest Transaction (Month/Day/Year)     02/09/2023                                   | x         | Officer (give title below)                                  | Other (specify below)                     |  |  |  |
| 1. Name and Address of Reporting Person <sup>*</sup><br>Walker John D. III |         |                 | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>CENTRAL GARDEN &amp; PET CO</u> [ CENT ] |           | tionship of Reporting Pers<br>all applicable)<br>Director   | son(s) to Issuer                          |  |  |  |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transac<br>Code (In<br>8) |   | Disposed Of (D) (Instr. 3, 4 and 5) |                     | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |                                  |
|---------------------------------|--|---|---------------------------------|---|-------------------------------------|---------------------|--|---|---|----------------------------------|
|                                 |  |   |                                 | v | Amount                              | (A) or<br>(D) Price |  | Transaction(s)<br>(Instr. 3 and 4)                                |   | (Instr. 4)                       |
| Class A Common Stock            | 02/09/2023                                 |   | <b>F</b> <sup>(1)</sup>         |   | 202                                 | D                   | \$41.09  | 88,190  | D   |                                  |
| Class A Common Stock            | 02/10/2023                                 |   | <b>F</b> <sup>(2)</sup>         |   | 5,397                               | D                   | \$40.48  | 82,793  | D   |                                  |
| Units                           |  |   |                                 |   |                                     |                     |  | 9,088   | Ι   | By 401(k)<br>Plan <sup>(3)</sup> |
|                                 |  |   |                                 |   |                                     |                     |  |   |   |                                  |

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|  |   |  |  |   |   |     |     |  |                    |  | ·                                   |   |  |  |  |
|--|---|--|--|---|---|-----|-----|--|--------------------|--|-------------------------------------|---|--|--|--|
| 1. Title of<br>Derivative<br>Security (Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) |  | 4.<br>Transaction<br>Code (Instr.<br>8) |   |     |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                                     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  |   |  |  | Code                                    | v | (A) | (D) | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Shares |   | Transaction(s)<br>(Instr. 4)   |  |  |

### Explanation of Responses:

1. Shares delivered by Reporting Person in payment of the withholding tax liability upon vesting of restricted stock. The amount of shares withheld is based on the average of the high and low of the sales prices of CENTA on February 9, 2023.

2. Shares delivered by Reporting Person in payment of the withholding tax liability upon vesting of restricted stock. The amount of shares withheld is based on the average of the high and low of the sales prices of CENTA on February 10, 2023.

3. The units represent interests in the CENTA Stock Fund in the Issuer's 401(k) Plan, which consist of shares of CENTA stock and cash.

#### Remarks:



\*\* Signature of Reporting Person

<u>02/13/2023</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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